

A combinatorial approach to Macdonald  
 $q, t$ -symmetry via the Carlitz bijection

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## Background on Macdonald polynomials

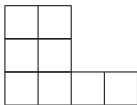
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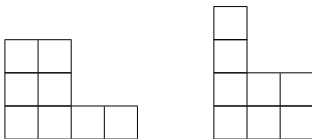
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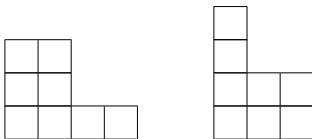
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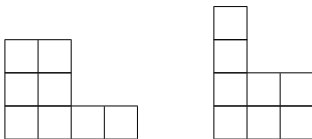
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- ▶ Related to classical Macdonald polynomials  $P_\lambda$  by a transformation, arise naturally in the geometry of the Hilbert scheme of points in the plane. (Haiman)
- ▶  $q, t$ -symmetry (via geometry):  $\tilde{H}_\mu(X; q, t) = \tilde{H}_{\mu^*}(X; t, q)$

# A combinatorial formula (Haglund, Haiman, Loehr)

► **Combinatorial Formula:**

$$\tilde{H}_\mu(X; q, t) = \sum_{\sigma: \mu \rightarrow \mathbb{Z}_+} q^{\text{inv}(\sigma)} t^{\text{maj}(\sigma)} X^\sigma$$



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- $\sigma: \mu \rightarrow \mathbb{Z}_+$  is a filling of the Young diagram of  $\mu$  with positive integers.

**Example:**  $\mu = (3, 2, 2)$ ,  $\sigma =$

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- inv and maj are statistics on fillings that generalize inv and maj on permutations.
- $q, t$ -symmetry not obvious from this formula

## Background: inv and maj on permutations

- ▶ Let  $\pi_1\pi_2\cdots\pi_n$  be a permutation of  $[n]$ . Then

$$\text{inv}(\pi) = |\{(i, j) : i < j, \pi_i > \pi_j\}|,$$

$$\text{maj}(\pi) = \sum_{\pi_d > \pi_{d+1}} d$$

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- ▶ Example:  $\text{inv}(51423) = 6$  ,  $\text{maj}(51423) = 1 + 3 = 4$
- ▶ inv and maj are equidistributed:

$$\sum_{\pi \in S_n} q^{\text{inv}(\pi)} = \sum_{\pi \in S_n} q^{\text{maj}(\pi)} = (1)(1+q)(1+q+q^2)\cdots(1+q+\cdots+q^{n-1})$$

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- ▶ Combinatorial proofs: Find a “weight-preserving” bijection  $\phi : S_n \rightarrow S_n$ , i.e. a bijection such that  $\text{maj}(\phi(\pi)) = \text{inv}(\pi)$ . Several such maps have been found (Carlitz, Foata,...)

## The Carlitz bijection $S_n \rightarrow S_n$

- ▶ **Carlitz codes:** Let  $C_n = \{c_1 c_2 \cdots c_n : \forall i, 0 \leq c_i \leq n - i\}$ . Define the *weight* of a code  $c \in C_n$  to be  $|c| = \sum_i c_i$ . Then

$$\sum_{c \in C_n} q^{|c|} = (1)(1 + q)(1 + q + q^2) \cdots (1 + q + \cdots + q^{n-1})$$

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- ▶ **Carlitz bijection:** Composite  $\phi : S_n \rightarrow S_n$  of two weight-preserving bijections

$$S_n \xrightarrow{\text{majcode}} C_n \xleftarrow{\text{invcode}} S_n.$$

Weight-preserving:  $|\text{majcode}(\pi)| = \text{maj}(\pi)$  and  $|\text{invcode}(\pi)| = \text{inv}(\pi)$ .

## The Carlitz bijection $S_n \rightarrow S_n$

$$S_n \xrightarrow{\text{majcode}} C_n \xleftarrow{\text{invcode}} S_n$$

- ▶ **majcode**: Remove entries starting with the largest,  $c_i$  records the amount maj decreases at the  $i$ th step:

Word	maj	$c_i$
51423	4	

$$\text{majcode}(51423) =$$

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Word	maj	$c_i$
51423	4	
1423		

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Word	maj	$c_i$
51423	4	
1423	2	

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Word	maj	$c_i$
51423	4	
1423	2	2
123		

$$\text{majcode}(51423) = 2$$

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Word	maj	$c_i$
51423	4	
1423	2	2
123	0	

$$\text{majcode}(51423) = 2$$

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51423	4	
1423	2	2
123	0	2

$$\text{majcode}(51423) = 22$$

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51423	4	
1423	2	2
123	0	2
12		

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51423	4	
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$$\text{majcode}(51423) = 220$$

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1		

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$$\text{majcode}(51423) = 2200$$

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51423	4	
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12	0	0
1	0	0
$\emptyset$		

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1	0	0
$\emptyset$	0	0

$$\text{majcode}(51423) = 22000$$

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- ▶ 34125 has four inversions:

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- ▶ 34125 has four inversions:  $(3, 1)$ ,  $(3, 2)$ ,  $(4, 1)$ , and  $(4, 2)$
- ▶  $c_1 = 2$ ,  $c_2 = 2$ , all other  $c_i = 0$ .

$$\text{invcode}(34125) = 22000$$

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- ▶ 34125 has four inversions:  $(3, 1)$ ,  $(3, 2)$ ,  $(4, 1)$ , and  $(4, 2)$
- ▶  $c_1 = 2$ ,  $c_2 = 2$ , all other  $c_i = 0$ .

$$\text{invcode}(34125) = 22000$$

- ▶ Since  $\text{majcode}(51423) = 22000$  as well, the Carlitz bijection sends

$$51423 \rightarrow 34125.$$

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- ▶ **invcode:**  $c_i$  is the number of inversions  $(j, i)$  where  $i < j$ .
- ▶ 34125 has four inversions:  $(3, 1)$ ,  $(3, 2)$ ,  $(4, 1)$ , and  $(4, 2)$
- ▶  $c_1 = 2$ ,  $c_2 = 2$ , all other  $c_i = 0$ .

$$\text{invcode}(34125) = 22000$$

- ▶ Since  $\text{majcode}(51423) = 22000$  as well, the Carlitz bijection sends

$$51423 \rightarrow 34125.$$

- ▶ Can extend the Carlitz bijection from permutations to words of any content.

## Conjugate Symmetry in $q$ and $t$

- ▶ Recall that

$$\widetilde{H}_\mu(X; q, t) = \widetilde{H}_{\mu^*}(X; t, q).$$

- ▶ Take the coefficient of  $x^\alpha = x_1^{\alpha_1} x_2^{\alpha_2} \cdots$  on both sides:

$$\sum_{\substack{\sigma: \mu \rightarrow \mathbb{Z}_+ \\ |\sigma^{-1}(i)| = \alpha_i}} q^{\text{inv}(\sigma)} t^{\text{maj}(\sigma)} = \sum_{\substack{\rho: \mu^* \rightarrow \mathbb{Z}_+ \\ |\rho^{-1}(i)| = \alpha_i}} q^{\text{maj}(\rho)} t^{\text{inv}(\rho)}.$$

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- ▶ Combinatorial proof: Need a bijection from fillings of  $\mu$  to fillings of  $\mu^*$  that preserves content and switches  $\text{inv}$  and  $\text{maj}$  simultaneously.

## inv and maj on fillings

$$\sigma = \begin{array}{|c|c|c|} \hline 6 & 3 & \\ \hline 1 & 5 & 7 \\ \hline 2 & 4 & 1 \\ \hline \end{array}$$

- ▶ maj is the sum of the maj's of the columns (top to bottom).



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- ▶ Second row:  $(1, 5)$

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- ▶ Bottom row:  $(2, 1), (4, 1)$
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- ▶ Top row:  $(6, 3)$
- ▶  $\text{inv}(\sigma) = 5$

## One-row shapes reduce to words

- ▶ If  $\mu = (n)$  and  $\sigma$  is a filling of  $\mu$ , then  $\text{maj}(\sigma) = 0$  and  $\text{inv}(\sigma) = \text{inv}(w(\sigma))$  where  $w(\sigma)$  is the reading word of  $\sigma$ .
- ▶ Similarly if  $\rho$  fills  $\mu^*$  then  $\text{inv}(\rho) = 0$  and  $\text{maj}(\rho) = \text{maj}(w(\sigma))$ .

5
1
4
2
3

→

3	1	4	2	5
---	---	---	---	---

$$\text{maj} = 4$$

$$\text{inv} = 0$$

$$\text{inv} = 4$$

$$\text{maj} = 0$$



## Result: Hook shapes (G.)

- ▶ Given a filling  $\sigma$  of a hook shape, define invcode and majcode according to the invcode and majcode of the row and column respectively.

5							1	2	3	4	5	6
1												
2	6	4	3				invcode	0	2	1		0
							majcode	0	0		1	

- ▶ Leftmost 0 of invcode matches rightmost 0 of majcode.
- ▶ Now **interchange** and **reverse** the two codes!

4							1	2	3	4	5	6
3												
1							invcode	1			0	0
5	2	6					majcode	0		1	2	0

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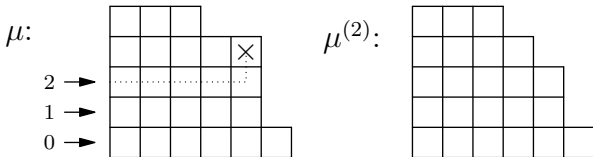


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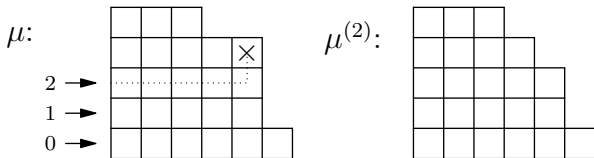
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- ▶ Define  $C_\mu = \{c_1 \cdots c_n : z_n^{c_1} \cdots z_1^{c_n} \in \mathcal{B}_\mu\}$ .

## Generalizing Carlitz

Want weight-preserving bijections

$$\mathcal{F}_\mu|_{\text{inv}=0} \xrightarrow{\text{majcode}} C_\mu \xleftarrow{\text{invcode}} \mathcal{F}_{\mu^*}|_{\text{maj}=0}$$

where

$$\mathcal{F}_\mu|_{\text{inv}=0} = \{\sigma : \mu \rightarrow \mathbb{Z}_+ \mid \text{inv}(\sigma) = 0\}$$

and

$$\mathcal{F}_{\mu^*}|_{\text{maj}=0} = \{\rho : \mu^* \rightarrow \mathbb{Z}_+ \mid \text{maj}(\rho) = 0\}.$$

Here the *weight* of a code  $c \in C_\mu$  is  $|c| = \sum c_i$ , so the maj statistic on the left and the inv statistic on the right will be sent to this weight statistic on  $C_\mu$ .

## The map invcode

- ▶ Let  $\rho$  be a filling of  $\mu^*$  having  $\text{maj}(\rho) = 0$ . Order its entries by size with ties broken in reading order, forming a totally ordered alphabet  $A = \{a_1, \dots, a_n\}$ .

$$\rho = \begin{array}{|c|c|} \hline 3 & 2 \\ \hline 4 & 6 & 1 \\ \hline 5 & 6 & 2 \\ \hline \end{array}$$
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- ▶ Define  $\text{invcode}(\rho) = c_1 c_2 \cdots c_n$  where  $c_i$  is the number of *attacking pairs* having  $a_i$  as its smaller entry.

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### Theorem (G.)

*The map invcode is a weight-preserving bijection*

$$\text{invcode} : \mathcal{F}_{\mu^*} |_{\text{maj}=0} \xrightarrow{\sim} C_{\mu}.$$



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- ▶ How to remove largest entry from an inversion-free filling  $\sigma$ ?

5		
7	1	2
3	4	6

## A recursion and Killpatrick's map for distinct entries

- ▶ The recursion defining  $\mathcal{B}_\mu$  implies that we should remove the largest entry from  $\sigma$  so that, if the major index decreases by  $d$ , the resulting shape is  $\mu^{(d)}$ .

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- ▶ Unfortunately, does not extend to repeated entries in any way that preserves relative ordering of entries. 😞
- ▶ Via a different approach, can construct a map majcode for three-row shapes with general entries.

## Results

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- ▶  **$q = 0$ ,  $\ell(\mu) \leq 3$ :** *When one of the statistics is zero and  $\mu$  has at most three parts, showing that*

$$\widetilde{H}_{\mu}(X; 0, t) = \widetilde{H}_{\mu^*}(X; t, 0)$$

*for such shapes.*

## Acknowledgments

- ▶ Thanks to Mark Haiman and Angela Hicks for their help and feedback.

THANK YOU!